PTO/SB/21 (AW)(03-03)

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## **TRANSMITTAL FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number	09/806,120	
Filing Date	July 18, 2001	
First Named Inventor	M. Kinoshita	
Art Unit	2652	
Examiner Name		
Attorney Docket No.	MAT-8101US	

	ENCLOSURES (Check all that apply)				
Fee Transmittal Form Fee Attached  Amendment/Reply After Final Affidavits/Declaration(s)  Extension of Time Request  Express Abandonment Request  Information Disclosure Statement Certified Copy of Priority Document Response to Missing Parts/ Incomplete Application Response to Missing Parts 37 CFR 1.52 or 1.53	Drawing(s)  Licensing-related Papers  Petition  Petition to Convert to a Provisional Application  Power of Attorney, Revocation, Change of Correspondence Address  Terminal Disclaimer  Request for Refund  CD, Number of CD(s)  Remarks:		After Allowance Communication to Group Appeal Communication to Board of Appeals and Interferences Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) Proprietary Information Status Letter Other Enclosure(s) (please identify below):  RECEIVED JUN 2 5 2003 Technology Center 2600		
	ANALUSE OF ARRUPANT ATTORNEY OR AG				
SIG	NATURE OF APPLICANT, ATTORNEY OR AG				
Firm or Individual Signature Lawrence E. Ashery	Registration to. (Attorney/Ag	gent) 3	4,515		
Date June 18, 2003					
CERTIFICATE OF TRANSMISSION / MAILING					
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Signature Mure	un 7 Phal	Date	June 18, 2003		
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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

09/806,120

Applicant: Filed:

M. Kinoshita July 18, 2001

2652

Title:

MAGNETIC RECORDING AND REPRODUCING APPARATUS

TC/A.U.:

Examiner:

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98 and to the duty of disclosure set forth in 37 C.F.R. § 1.56, the Examiner in charge of the above-identified application is requested to consider and make of record the references listed on the PTO 1449 (R&P) submitted herewith. A copy of each of the listed references is also enclosed.

Although the information submitted herewith may be "material" to the Examiner's consideration of the subject application, this submission is not intended to constitute an admission that such information is "prior art" as to the claimed invention.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

No first Official Action has yet been received and it is presumed that none has yet been mailed. No fee is required. 37 C.F.R. § 1.97(b). Furthermore, all of the references disclosed herein were cited in an office action from a foreign patent office in a counterpart foreign application within the last three months. Accordingly, a certification, as specified in 37 C.F.R. § 1.97(c), is provided herewith. Therefore, no fee is required, even if an Official Action has been mailed.

## **CERTIFICATION**

The undersigned, an attorney registered to practice before the U.S. Patent and Trademark Office, hereby certifies that each reference disclosed in the subject Supplemental Information Disclosure Statement was cited in a communication (i.e., an office action) from a foreign patent office in a counterpart foreign application not more than three months before the filing of the Supplemental Information Disclosure Statement.

Respectfully submitted,

Lawrence E. Ashery, Reg. No. 34,515

Attorney for Applicant

LEA/dlm

Enclosures: PTO Form 1449,

(1) References Office Action
Transmittal Form

Dated: June 18, 2003

P.O. Box 980 Valley Forge, PA 19482-0980 (610) 407-0700

The Commissioner for Patents is hereby authorized to charge payment to Deposit Account No. 18-0350 of any fees associated with this communication.

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2652

Multitute for Form 1449/PTO		Complete if Known
	Application Number	09/806,120
INFORMATION DISCLOSURE	Filing Date	July 18, 2001
STATEMENT BY APPLICANT	First Named Inventor	M. Kinoshita

**Examiner Name** SHEET 1 of 2 Attorney Docket No. **MAT-8101US U.S. PATENT DOCUMENTS** Pages, Columns, Lines, Where **Document Number** Examiner Cite **Publication Date** Name of Patentee or Relevant Passages or Relevant Number - Kind Code<sup>2</sup> (if known) (MM-DD-YYYY) Applicant of Cited Document Figures Appear Initials\* No.1 **Entire Document** US-5,051,848 09/24/199 Ishii et al. US-US-US-US-US-US-US-US-US-US-US-Technology Center 2600

Art Unit

FOREIGN PATENT DOCUMENTS						
	Foreign Patent Document		Name of Patentee or	Pages, Columns, Lines, Where Relevant		
Examiner Initials*	Cite No. <sup>1</sup>	Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5 (If known)</sup>	Publication Date (MM-DD-YYYY)	Applicant of Cited Document	Passages or Relevant Figures Appear T	T⁵
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Examiner	Date	
Signature	Considered	

(Use as many sheets as necessary)

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<sup>\*</sup>EXAMINER: Initial If reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

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<sup>&</sup>lt;sup>2</sup>See Kind Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04

<sup>&</sup>lt;sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard St.3).

For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 

Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.

<sup>&</sup>lt;sup>6</sup>Applicant is to place a check mark here if English language translation is attached.

<sup>&</sup>quot;Applicant is to place a check mark nere it english language translation is attached.

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Substitute for Form 1449/PTO		Complete if Known	
	Application Number	09/806,120	
INFORMATION DISCLOSURE	Filing Date	July 18, 2001	
STATEMENT BY APPLICANT	First Named Inventor	M. Kinoshita	
(Use as many sheets as necessary)	Art Unit	2652	
	Examiner Name		
CUEET 2 of 2	Attorney Docket No.	MAT-8101LIS	

NON-PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>2</sup>	
		Official Action dated May 19, 2003 issued for corresponding Korean Patent Application No. 10-2001-7004016.		
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